

South Somerset District Council Audit Plan Progress 2015/16 - Qtr 1-2 Update (as at 10 Nov)

APPENDIX B

| Audit Type                     | Audit Title  | Quarter | Status      | Opinion    | No. of recs | Major - Recommendations - Minor |   |   |   |   |
|--------------------------------|--|---------|-------------|------------|-------------|---------------------------------|---|---|---|---|
|                                |  |         |             |            |             | 5                               | 4 | 3 | 2 | 1 |
| Follow Up                      | Printing & Copying Follow Up                                 | 1       | Final       | Non        | 0           | 0                               | 0 | 0 | 0 | 0 |
| Follow Up                      | Streetscene Enforcement Follow Up                            | 1       | Final       | Non        | 0           | 0                               | 0 | 0 | 0 | 0 |
| Governance, Fraud & Corruption | Expenses & Reimbursements Fraud Prevention                   | 1       | Final       | Reasonable | 4           | 0                               | 0 | 4 | 0 | 0 |
| Grant Certification            | Boden Mill & Chard Regeneration Scheme Statement of Accounts | 1       | Final       | Non        | 0           | 0                               | 0 | 0 | 0 | 0 |
| ICT                            | Mobile and Remote Working_SSDC                               | 1       | Final       | Reasonable | 5           | 0                               | 0 | 5 | 0 | 0 |
| Governance, Fraud & Corruption | Yeovil Cemetery & Crematorium Annual Accounts                | 1       | Final       | Non        | 0           | 0                               | 0 | 0 | 0 | 0 |
| Operational                    | NDR - New Business Rates System                              | 1       | Final       | Reasonable | 6           | 0                               | 0 | 3 | 3 | 0 |
| Operational                    | Business Continuity  | 1       | Draft       |            | 0           | 0                               | 0 | 0 | 0 | 0 |
| Governance, Fraud & Corruption | Lean Thinking Benefit Realisation                            | 2       | Not started |            | 0           | 0                               | 0 | 0 | 0 | 0 |
| ICT                            | Telephony  | 2       | In Progress |            | 0           | 0                               | 0 | 0 | 0 | 0 |
| Operational                    | Yeovil Innovation Centre                                     | 2       | In Progress |            | 0           | 0                               | 0 | 0 | 0 | 0 |
| Operational                    | Council Tax Collection                                       | 2       | In Progress |            | 0           | 0                               | 0 | 0 | 0 | 0 |
| Operational                    | Private Sector Housing - Environmental Health                | 2       | In Progress |            | 0           | 0                               | 0 | 0 | 0 | 0 |
| Operational                    | S106 Discharge of Planning Obligations                       | 2       | Not started |            | 0           | 0                               | 0 | 0 | 0 | 0 |
| Operational                    | Key Income Stream Management                                 | 2       | Not started |            | 0           | 0                               | 0 | 0 | 0 | 0 |
| Operational                    | Housing & Council Tax Benefit                                | 2       | Not started |            | 0           | 0                               | 0 | 0 | 0 | 0 |
| Governance, Fraud & Corruption | Leisure Centre / Hub Contract Compliance                     | 3       | Not started |            | 0           | 0                               | 0 | 0 | 0 | 0 |
| Governance, Fraud & Corruption | Contract Compliance - PPR Compliance                         | 3       | Not started |            | 0           | 0                               | 0 | 0 | 0 | 0 |
| ICT                            | Physical and Environmental Controls                          | 3       | Not started |            | 0           | 0                               | 0 | 0 | 0 | 0 |
| Operational                    | New Payroll System   | 3       | Not Started |            | 0           | 0                               | 0 | 0 | 0 | 0 |
| Operational                    | Asset Register & Land Record Management                      | 3       | Not started |            | 0           | 0                               | 0 | 0 | 0 | 0 |
| Operational                    | Aged Debt Management   | 3       | Not started |            | 0           | 0                               | 0 | 0 | 0 | 0 |
| Governance, Fraud & Corruption | Imprest Accounts   | 4       | In Progress |            | 0           | 0                               | 0 | 0 | 0 | 0 |
| Governance, Fraud & Corruption | Risk Strategy & TEN Risk Management                          | 4       | Not started |            | 0           | 0                               | 0 | 0 | 0 | 0 |
| Operational                    | Property Services  | 4       | Not started |            | 0           | 0                               | 0 | 0 | 0 | 0 |
| Operational                    | Ninesprings Cafe   | 4       | Not started |            | 0           | 0                               | 0 | 0 | 0 | 0 |
| Governance, Fraud & Corruption | Mobile and Remote Working_H&S                                | 4       | Not started |            | 0           | 0                               | 0 | 0 | 0 | 0 |